

ISO 37001:2016 Anti Bribery Management System (ABMS)



What is ISO 37001?

ISO 37001 i.e. Anti Bribery Management System is an internationally recognized standard which helps organization to fight against the corruption, by establishing the culture of integrity, transparency and compliance. The Anti Bribery Management System can be used by any organization that is large or small, public or private and in any part of the country. This is the effective tool, which can be adapted according to the organization size, nature and the risk of bribery it faces.

ISO 37001 addresses one of the world's most destructive and challenging issues and demonstrates a committed approach to eliminate the corruption. This Standard allows organization of all type to prevent, detect and address bribery by adopting an anti bribery policy, appointing a person to oversee anti-bribery compliance, training, risk assessments and due diligence on projects and business associates, implementing financial & commercial controls and instituting reporting & investigation procedures.

ISO 37001 helps organization to implement reasonable measure to prevent, detect and respond to bribery. This Anti Bribery ISO Standards was developed by ISO technical committee ISO/TC309 and was first published in October 2016. This standard structure consists of 10 clauses, which is similar as ISO 9001:2015 standard and it also adopts the concept such as P-D-C-A cycle, risk-based thinking.

What are the Focus Points of ISO 37001 Implementation?

- Anti-bribery policies and procedures, including those around gift-giving, hospitality, donations, and other such benefits
- An effective compliance team to oversee the anti-bribery program
- A strong tone at the top with management level leadership on, commitment to, and responsibility for anti-bribery
- Anti-bribery risk assessments
- Controls to mitigate bribery risks (including financial, procurement, commercial, and contractual controls)
- Training and awareness on anti-bribery policies and measures

- Due diligence on projects, transactions, personnel, and business associates/ third parties with a high risk of bribery
- Procedures for reporting, investigating, and reviewing suspected or actual bribery
- Continuous monitoring and regular audits
- Corrective action and continual improvement

What are the documents required for ISO 37001 certification?

The organization shall demonstrate continual improvement in the field of Anti Bribery management system by maintaining, establishing, implementing and providing documented information.

The documented information required for ISO registration

- The organizations Anti-bribery scope
- The organizations Anti-bribery policy and Anti-bribery Objectives
- Anti Bribery management Manual
- Standard operating Procedures for anti bribery management system
- Anti-Bribery risk management
- Records at individual process / department. E.g. Sales, Purchase, Production, Quality Assurance, Maintenance, Customer Service.

Who all are eligible for ISO 37001 Certification?

- Manufacturing units
- Service sector including Educational institutes, Hospitals and other service providing units
- Trading units
- Any other business units irrespective of nature of business, size and location.

How did ISO 37001 evolve throughout the year?

- Year 2016 – 1st Edition of ISO 37001:2016 Anti Bribery Management System (ABMS) published

How will ISO 37001 Certification benefit your Organization?

- Compliance to Anti Bribery policy & Ethical working practices
- Recognition by overseas customers
- Mandatory requirement for being an out-sourcing sub-contractor to parent company
- Compliance with business, legal, contractual, and regulatory requirements
- Improved structure and focus with respect to Business Ethics and Anti bribery Management System

ISO 37001 Reference Standards

- ISO 9000:2015 - Quality management - customer satisfaction - Guidelines for complaint handling in organizations
- ISO 19600 Compliance management systems - Guidelines
- ISO 26000 Guidance on Social Responsibility
- ISO 31000 Risk Management - Principles and Guidelines

What is the validity of the ISO 37001 Certification?

The validity of the ISO 37001 Certification is 3 Years.

What is the frequency of ISO audit for ISO 37001 Standards during the validity period?

One year is the frequency of ISO audit for ISO 37001 Standards during the validity period.

What is the purpose of ISO 37001?

- ISO 37001 can be used by any organization, large or small, public, private, or non-profit, and in any country. It is a versatile tool that can be tailored to the size and nature of the organization, as well as the bribery risk it faces

Is it possible to integrate ISO 37001 with other management systems?

- Anti Bribery Management System can be a stand-alone system or integrated into an already implemented management system such as Quality Management System i.e. ISO 9001. The effective and precise implementation of Anti Bribery Management System (ABMS) ensures certification of organization by Internationally Accredited Certification Body.

What is the duration of ISO 37001 certification?

- You can do the audit whenever you're ready. The length of the audit varies according to the size of the site and the complexity of the operations, but it always lasts at least one day. Following a successful certification audit, you will receive a three-year certificate.

What is the difference between ISO 37001 and ISO 19600?

ISO 37001 and ISO 19600, which was adopted in 2014, have two significant variances. To begin with, ISO 19600 is a Type B standard, which means it is only recommended, but ISO 37001 is a Type A standard, which means it has mandatory standards that may be verified and certified. Second, although DIN ISO 37001 concentrates on a particular key compliance issue, ISO 19600 is a generic standard that establishes suggestions for compliance programmer that address a variety of difficulties.

ISO 37001 certification can be granted by whom?

- This organization cannot be certified by ISO because it is evaluated by entities outside of ISO. CASCO, ISO's Committee on Conformity Assessment, has compiled a list of certification standards that certifying bodies use to issue ISO 37001 certification to enterprises.

How will PQSmitra help you with Hassle Free Implementation process for ISO 37001 Certification?

The practical and effective system implementation approach is adopted towards ISO 37001 certification at the organization. PQSmitra Team helps in easy understanding of the standard requirements and simple methods for effective compliance. 100% documentation support is offered to achieve successful certification in addition to enhanced operational controls.

The implementation process is described below:

- Initial visits and review of the existing system
- Identification of controls and planning for implementation
- Documentation including procedures, work instructions etc.
- Internal audit for verification of implemented system
- Management review
- Certification audit – Stage 1 & Stage 2
- Closure of non-conformities
- Rewarding the certificate to the organization



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